

Missouri Department of Corrections

Community Reentry Funding

Round 4

2011-2012

Final Report

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## EXECUTIVE SUMMARY

In August 2011, the Missouri Department of Corrections implemented the fourth round of the Community Reentry Funding Initiative. The Initiative was designed to address the needs of individuals under the supervision of Missouri Probation and Parole by providing the tools offenders need to be successful, law-abiding citizens. The goal of the Initiative is to provide access to these tools through vital services and programs that have been identified by local agencies, service providers, and Missouri Reentry Process (MRP) teams.

By connecting offenders with needed services and programs, the Initiative sought to reduce reoffense rates among participants. Services provided through the Initiative included; transportation, housing, basic essentials, employment, mental health treatment, substance abuse treatment, academic education, vocational education, and family assistance. The Initiative began with a pilot project in early 2009. The initial round of funding provided up to \$25,000 to local agencies to implement reentry services. Due to the success of Round 1, the Department of Corrections authorized a second and third round of funding that allowed organizations to apply for up to \$100,000. However, in its fourth year, award amounts were reduced to \$50,000 due to state budget constraints.

In Round 4, forty awards were distributed totaling over \$1.8 million dollars. Awardees provided services to 4,420 individuals under the supervision of Missouri Probation and Parole, 373 of which were sex offenders. These participants received a total of 168,542 units of service in the last year. To evaluate whether the Community Reentry Initiative is effective at reducing reoffense, participating agencies were instructed to collect individual level data on the clients they served. Each agency was responsible for collecting names, DOC numbers, date of birth, program entry and exit dates, employment status, and county of residence. Agencies were also required to track the type and amount of services each offender was provided while enrolled in their programs. By tracking the services for each individual the evaluators are able to assess the impact of each type of service.

Overall, participants in the program reoffended at a rate of 13.8%. A sample of offenders matched to participants on characteristics such as sentence type, supervision level, offense group, age, sex, and race had a reoffense rate of 17.3%. The difference of 3.5% was found to be a statistically significant reduction. The impact was largest among offenders on Level III supervision. This high risk group saw a reoffense rate reduction of 9.6%.

Data analysis also found that one type of service – transportation – had a significant effect on reoffense rates when it was the only treatment an individual received. In addition, 7 of the 9 service categories were found to have a significant impact when they were combined with one additional service. The analysis also found that offenders who received more than one type of service showed a decrease in their risk of reoffense, regardless of the specific nature of those services. Also, the results showed that the more units of service an offender received the less likely they were to reoffend. The trend that emerged from the analysis is that both the number of

services and the intensity of those services impact the risk for reoffense. Therefore, a comprehensive approach to service delivery appears to be the most effective.

The organizations funded through this Initiative provided a significant amount of vital services to a difficult and high risk population. These services helped to stabilize offender's lives and improved their chances of success while under supervision. As a result, Round 4 of the Community Reentry Funding Initiative achieved its goal of reducing the reoffense rate of Missouri offenders.

In August of 2012, a fifth round of funding was authorized and distributed to selected agencies to continue vital programming in their communities.

## INTRODUCTION

In 2009, the Missouri Department of Corrections launched the Community Reentry Funding Initiative to support offender reentry into communities throughout the state. Since that time, there have been three successful rounds of funding and in August 2011 a fourth round of Community Reentry funding was awarded. The Initiative was designed to provide funding to community organizations and programs to assist offenders while on supervision with the goal of reducing their risk of reoffending and returning to prison

Forty projects were selected for funding in the six DOC regions. TABLE 1 shows the number of awardees and the amount of money distributed by region. Funding was allocated to regions based on the offender population in those regions. For this round of funding agencies were allowed to request up to \$50,000 for their program. Over \$1.8 million dollars was distributed throughout the state.

**TABLE 1: REGIONAL AWARDS**

<b>Region</b>	<b>Number of Awardees</b>	<b>Total \$ Awarded</b>
<b>Region I</b>	9	\$ 441,928.00
<b>Region II</b>	6	\$ 279,516.59
<b>Region III</b>	7	\$ 294,556.60
<b>Region IV</b>	7	\$ 337,445.00
<b>Region V</b>	6	\$ 212,317.61
<b>Region VI</b>	5	\$ 250,000.00
<b>Total</b>	<b>40</b>	<b>\$ 1,815,763.78</b>

The Department of Corrections is committed to using the allocated funds for programs that directly impact the supervised population. Therefore, to be eligible to participate in one of the funded projects, clients must be under the supervision of the Missouri Department of Probation and Parole. From August 2011- July 2012, 4,420 individuals received services, 373 of these individuals were sex offenders.

The Missouri Department of Corrections has contracted with the Institute of Public Policy, Truman School of Public Affairs at the University of Missouri (IPP) since the inception of the

Initiative. IPP serves as the funding managers and evaluators of the Community Reentry Funding Initiative and also provides technical assistance and guidance to agencies from the initial award through final reporting. IPP monitored organizations through quarterly progress reports and site visits to ensure the agencies were meeting their output and outcome goals and were effectively managing their spending.

IPP worked with the Community Reentry Funding Committee to conduct rigorous data collection and evaluation of the projects, the agencies, and the successes of individual participants. The extensive evaluation techniques utilized have allowed IPP to provide an in-depth analysis of the funded projects and the entire initiative.

This report provides the following:

- Overview of the funding processes and evaluation practices
- Description of the funded projects and awardees
- Summary of the final reports by the awardees
- Analysis of the success and impact of the Community Reentry Funding Initiative
- Awardee feedback and observations from the Initiative
- Comparison of Rounds 3 and 4

## **FUNDING PROCESS**

For the fourth round of the Initiative the Department of Corrections utilized funding process similar to the previous rounds. In April 2011, the DOC Community Reentry Committee began preparations for a request for application (RFA) to distribute funds to community organizations for the purpose of assisting individuals under the supervision of Missouri Probation and Parole.

The committee structured the 2011 RFA to award additional preference points to organizations that provided programming on issues of higher priority or need. Specifically, agencies that proposed providing sex offender housing or treatment were given 5 extra points during the scoring of their proposal by the review committee. The preference points were intended to encourage awardees to focus on these areas, deemed a priority by DOC.

The proposal review committee scored the submitted proposals on the following criteria:

- Identifying the gaps in the community
- Experience and expertise of the agency
- Program design
- Program outputs and outcomes
- Program implementation (timeline, budget, and budget narrative)

As in previous rounds of funding, applicants were asked to propose services that directly benefited the target population and to keep all other costs to a minimum. Applicants were also encouraged to propose programming that was consistent with the *Eight Evidence-Based*

*Principles for Effective Interventions in Community Corrections.*<sup>1</sup> Eligible participants for funding included non-profit agencies, faith-based groups, and units of local government. All applicants were required to be 501(c)3 non-profit agencies to receive funding.

The request for applications was released in May of 2011 and a pre-bid conference was held on May 24<sup>th</sup>, 2011. The pre-bid conference was an opportunity for agencies to ask questions regarding the RFA and for the Department of Corrections to clear up any inconsistencies in the RFA language. Following the pre-bid conference, amendments were made to the RFA and released shortly after.

Proposals were due to the Department of Corrections at 2:00 pm on June 7, 2011. Following the submission of proposals, they were reviewed by DOC Procurement for initial compliance. Eight-two applications were submitted and opened for review. All eight-two opened submissions met the criteria for compliance and therefore were considered by the review team.

The proposal review and evaluations were conducted by a small team of DOC staff with experience and knowledge regarding reentry. The application review team read the applications, made notes on the strengths and weaknesses of each proposal, and assigned a point value based on the substance of the application. At this time the review team also assigned preference points to eligible proposals that addressed sex offender housing or treatment.

Proposals were then ranked by their point totals and submitted to the DOC Community Reentry Committee for final review. The Community Reentry Committee accepted the recommendations of the application review team and made contract announcements on July 15<sup>th</sup>, 2011. Forty projects in the six DOC Regions were awarded approximately \$1.8 million dollars for reentry programs.

The Department of Corrections processed payments for 50% of the awarded amount to the agencies by the August 1<sup>st</sup>, 2011 start date. Agencies became eligible for the remaining payments in 25% increments following their quarterly reports. Quarterly payments were processed for agencies that demonstrated their programming and expenditures were in line with their proposals. The end date for the contracts was set for July 31<sup>st</sup>, 2012 to allow for an entire year of programming for the awarded agencies.

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<sup>1</sup> <sup>1</sup> Implementing Evidence-Based Practice in Community Corrections: The Principles of Effective Intervention. April 2004 <http://www.nicic.org/pubs/2004/019342.pdf>

## TIMELINE OF COMMUNITY REENTRY FUNDING INITIATIVE PROCESS

<b>Aug. 1, 2011</b>	<b>Reentry Funding awards announced</b>
<b>Aug. 1, 2011</b>	Evaluation packet and instructions e-mailed to awardees
<b>Oct. 31<sup>st</sup>, 2011</b>	1 <sup>st</sup> Quarter Ends
<b>Nov. 15<sup>th</sup>, 2011</b>	1 <sup>st</sup> Quarter Report Due
<b>Nov 17<sup>th</sup> – 18<sup>th</sup>, 2011</b>	Technical Assistance Session – MRP Conference
<b>Jan. 31<sup>st</sup>, 2012</b>	2 <sup>nd</sup> Quarter Ends
<b>Feb. 15<sup>th</sup>, 2012</b>	2 <sup>nd</sup> Quarter Report Due
<b>March-April, 2012</b>	Site visits conducted
<b>April 30<sup>th</sup>, 2012</b>	3 <sup>rd</sup> Quarter Ends
<b>May 15<sup>th</sup>, 2012</b>	3 <sup>rd</sup> Quarter Report Due
<b>July 31<sup>st</sup>, 2012</b>	Program end date
<b>Aug. 15<sup>th</sup>, 2012</b>	Final Reports Due
<b>Aug. 15<sup>th</sup>, 2012</b>	Data work for final report begins
<b>Nov. 1<sup>st</sup>, 2012</b>	Process and Impact Evaluation Report Due

## EVALUATION

Similar to previous rounds, the Department of Corrections and IPP continued to use a rigorous tracking and progress reporting system for the awardees. This included a client tracking form, client survey, quarterly reports (including financials), and site visits. Together these tools allowed for substantial oversight and evaluation of awardees' success.

### Client Tracking Form

In order to track the progress of individuals involved in Community Reentry funded programs, IPP required all agencies to collect the names and DOC numbers of the clients to whom they provided services. In addition, agencies were asked to track the number and types of services they provided by assigning a unit value to each service. For example, an agency that provided transitional housing to clients would count each day of rental assistance provided as one housing unit. Other examples of commonly reported units are as follows:

- 1 unit of employment = 1 hour of job skills training
- 1 unit of academic = 1 hour of GED class
- 1 unit of basic essentials = \$10 worth of basic essentials (food, clothing, etc.)
- 1 unit of mental health = 1 hour counseling (anger management, etc)
- 1 unit of transportation = 1 trip for client to approved location
- 1 unit of housing = 1 day of housing provided
- 1 unit of family assistance = 1 hour of a parenting skills class
- 1 unit of substance abuse = 1 hour of substance abuse treatment
- 1 unit of vocational education = 1 hour of vocational training

Agencies captured all of this individual level data on a tracking sheet provided by IPP. The tracking sheet was also used for collecting data about program entry and exit dates, employment status, and county of residence. The tracking sheet served two important purposes; 1) it supplies IP with information regarding the amount of services provided by each awardee in relation to their stated goals, and 2) it allows for an in-depth analysis of the impact of specific types of service on reoffense rates. ATTACHMENT A is a copy of the unit definitions awardees used on the tracking sheet.

### Client Survey

Another evaluation tool that IPP utilized was the client survey. This survey was distributed to all organizations to administer at the initial intake of clients into their programs. Clients were encouraged to complete the form, however their participation was voluntary. Completed client surveys were returned by the funded agency on a quarterly basis with their required reports. ATTACHMENT B is the client survey. This information was used to provide descriptive information about the clients that participated in the program.



## **Quarterly Reports**

The quarterly reporting requirements of funded agencies were not drastically changed from Round 3 to Round 4. Some questions in the reporting forms were slightly modified for clarity. ATTACHMENT C and D are the quarterly reporting template and the financial reporting template utilized in Round 4. Agencies were asked to report on the progress they had made in the last quarter toward the completion of their output and outcome goals, detail the major accomplishments during the previous quarter, and update the financial reporting forms with all expenditures made to date.

IPP closely monitored the quarterly reports of each funded agency to ensure goals were being met and money was being spent as proposed in their original contract. IPP identified the programs that were struggling to implement their program as proposed and reported that information to the Community Reentry Committee. IPP worked closely with DOC and the identified programs to provide technical assistance to address the issues they were facing with implementation.

## **Site Visits**

Another evaluation tool that IPP continued to use in Round 4 was to conduct site visits to each of the funded agencies. Site visits were not carried out with those organizations that had been visited in the previous two rounds of funding. These organizations instead received a phone call to discuss any potential problems or concerns that they may have. For those who did receive a site visit, it was conducted in March and April of 2012. IPP met with program directors and other key staff at the funded agencies. In addition, DOC liaisons in each area (often regional or district administrators) were asked to attend the site visit and provide the agency with any additional comments or suggestions regarding the funded project. ATTACHMENT E is a copy of the site visit protocol used during each site visit. Whenever possible, the evaluation team asked the agency to allow the site visit team to see a piece of their program in action. During site visits IPP and the DOC liaison were able to meet with clients who were served by the program, observe class recognitions and graduations, observe intake and enrollment procedures, tour housing facilities, and see newly purchased equipment.

Following the site visit, IPP compiled the notes and observations from the visit and forwarded the information to the DOC liaison for additional comments and feedback. Once the completed form was returned it was sent to the agency, the liaison, and the Community Reentry Committee for review. Site visit reports included a summary of the visit, awardee responses to protocol questions, and any additional action items or areas of concern that were identified by IPP and DOC liaisons.

## **DESCRIPTION OF AWARDEES**

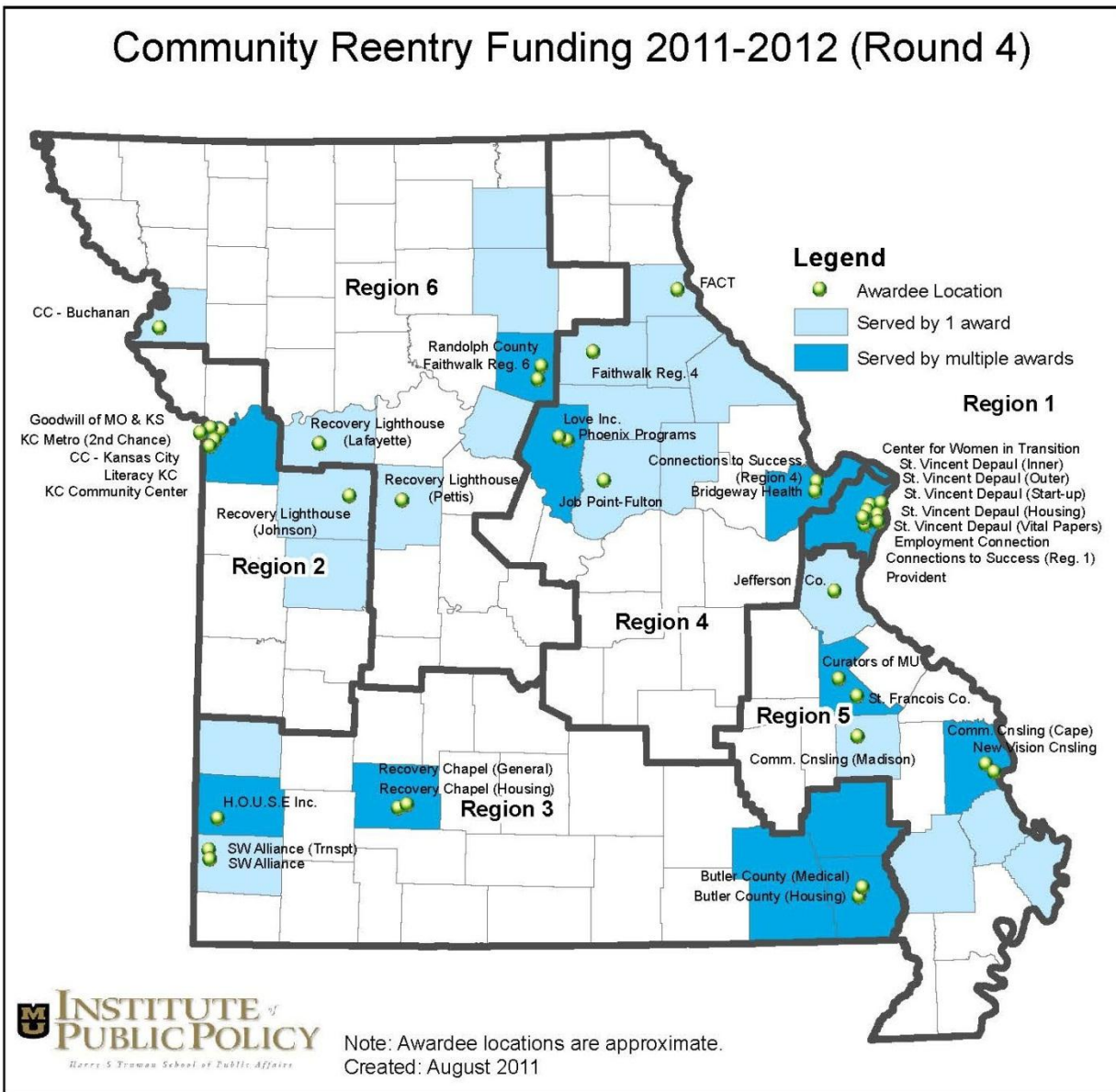
TABLE 2 provides a description of the awardees with each organization's name, office location, amount of award, type of organization, and the types of services provided through this funding.

While only the office location is identified in this table, many agencies served clients throughout the surrounding county or counties. Following the table is a map of the agencies that received Community Reentry Funding in Round 4.

**TABLE 2: DESCRIPTION OF AWARDEES**

Organization	City	Region	Amount of Award	Type of Organization	Type of Service
Center for Women in Transition	St. Louis	1	\$49,990.00	Non-Profit	Comprehensive
Connections to Success (Region 1)	St. Louis	1	\$50,000.00	Non-Profit	Comprehensive
Employment Connection	St. Louis	1	\$50,000.00	Non-Profit	Comprehensive
Provident	St. Louis	1	\$49,938.00	Non-Profit	Comprehensive
St. Vincent DePaul (Inner)	St. Louis	1	\$50,000.00	Faith-based	Housing, Basic
St. Vincent DePaul (Outer)	St. Louis	1	\$50,000.00	Faith-based	Basic, Transp.
St. Vincent DePaul (Housing)	St. Louis	1	\$50,000.00	Faith-based	Housing
St. Vincent DePaul (Start-up)	St. Louis	1	\$42,000.00	Faith-based	Comprehensive
St. Vincent DePaul (Vital Papers)	St. Louis	1	\$50,000.00	Faith-based	Counseling, Basic
Catholic Charities (KC)	Kansas City	2	\$50,000.00	Faith-based	Comprehensive
Goodwill of Missouri & Kansas	Kansas City	2	\$32,199.00	Non-Profit	Empl, Basic, Trans
Kansas City Community Center	Kansas City	2	\$50,000.00	Non-Profit	Transp., Employment
KC Metropolitan (Second Chance)	Kansas City	2	\$50,000.00	Non-Profit	Housing
Literacy Kansas City	Kansas City	2	\$47,317.57	Non-Profit	Academic, Transport.
Recovery Lighthouse (Johnson)	Warrensburg	2	\$50,000.00	Non-Profit	Comprehensive
Butler County (Housing)	Poplar Bluff	3	\$49,174.80	Non-Profit	Hsing, Transp., Basic
Butler County (Medical)	Poplar Bluff	3	\$49,174.80	Non-Profit	Basic
H.O.U.S.E. Inc	Webb City	3	\$16,560.00	Non-Profit	Counseling
Recovery Chapel (General)	Springfield	3	\$37,489.00	Non-Profit	Comprehensive
Recovery Chapel (Housing)	Springfield	3	\$42,158.00	Non-Profit	Housing
Southwest Alliance	Joplin	3	\$50,000.00	Non-Profit	Comprehensive
Southwest Alliance (Transportation)	Joplin	3	\$50,000.00	Non-Profit	Transportation
Bridgeway Behavioral Health	St. Charles	4	\$47,825.00	Non-Profit	Employment
Connections to Success (Region 4)	St. Charles	4	\$46,820.00	Non-Profit	Comprehensive
Faithwalk (Region 4)	Paris	4	\$50,000.00	Non-Profit	Comprehensive
Families and Communities Together	Hannibal	4	\$50,000.00	Non-Profit	Comprehensive
JobPoint (Fulton)	Fulton	4	\$50,000.00	Non-Profit	Comprehensive
Love Inc. of Columbia	Columbia	4	\$50,000.00	Faith-based	Transpt, Employ.
Phoenix Programs Inc. (Counseling)	Columbia	4	\$42,800.00	Non-Profit	Comprehensive
Community Counseling (Cape)	Cape Girardeau	5	\$49,999.52	Non-Profit	Counseling
Community Counseling (Madison)	Fredericktown	5	\$49,999.52	Non-Profit	Counseling
Jefferson County	Barnhart	5	\$24,308.57	Non-Profit	Transportation
New Vision Youth & Family Services	Cape Girardeau	5	\$50,000.00	Non-Profit	Counseling
St. Francois County	Farmington	5	\$25,210.00	Non-Profit	Counseling
University of Missouri	Farmington	5	\$12,800.00	Non-Profit	Family, Basic
Catholic Charities (Buchanan)	St. Joseph	6	\$50,000.00	Faith-based	Comprehensive
Faithwalk (Region 6)	Moberly	6	\$50,000.00	Non-Profit	Comprehensive
Randolph County Caring Community	Moberly	6	\$50,000.00	Non-Profit	Counseling, Basic
Recovery Lighthouse (Lafayette)	Odessa	6	\$50,000.00	Non-Profit	Comprehensive
Recovery Lighthouse (Pettis)	Sedalia	6	\$50,000.00	Non-Profit	Comprehensive

**MAP 1: MAP OF ROUND 4 COMMUNITY REENTRY FUNDING AWARDEES BY REGION**

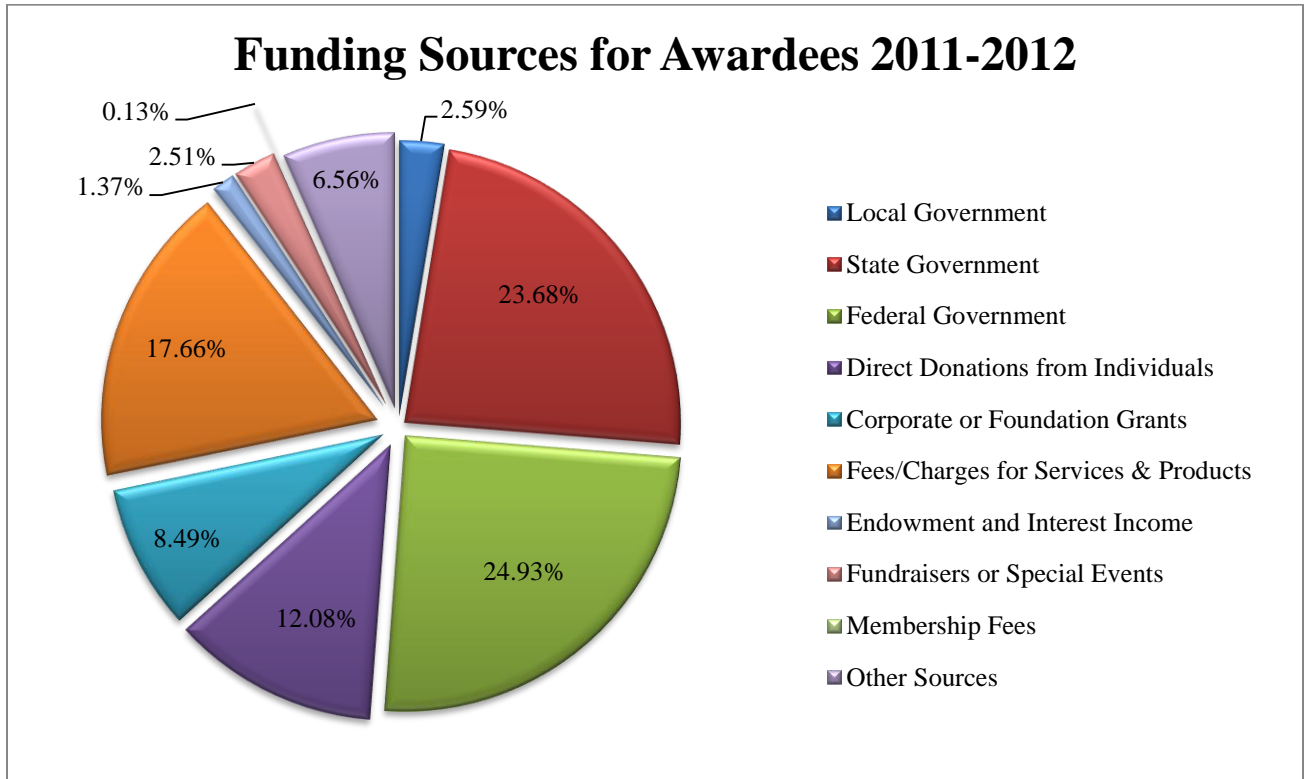


**Organizational Information**

Through an online survey IPP was able to collect information about the organizations participating in the Initiative. There were a total of 27 organizations that received an award and some received multiple awards. FIGURE 1 shows that on average awardees received about 25% of their funding from the federal government. The next largest funding source was the state government at about 23%. Fees/Charges for services made up 17%, and this was followed by direct donations at 12%. Only six of twenty-three organizations focus solely on offender reentry programming. Awardees averaged about 14 full-time employees and ten part-time employees in their organization. Volunteers were used by all of the organizations that responded. Awardees

also reported that the number of clients they serve has increased significantly in the last two years.

**FIGURE 1: FUNDING SOURCES FOR AWARDEES**



### DESCRIPTION OF CLIENTS

This section highlights similarities and differences between the sample of program participants and the data set of all offenders under supervision during the funding time period. These numbers represent the population that was analyzed once the observations that had missing data on key variables were removed. This sort of descriptive statistical analysis allows for an important picture of the offenders served by the Initiative compared to the larger supervised population. If that picture happens to show an underserved segment of the population there may be actions to take to better serve that group. Also, the demographics of the sample group can impact the outcomes of the Initiative, which will be discussed in more detail later.

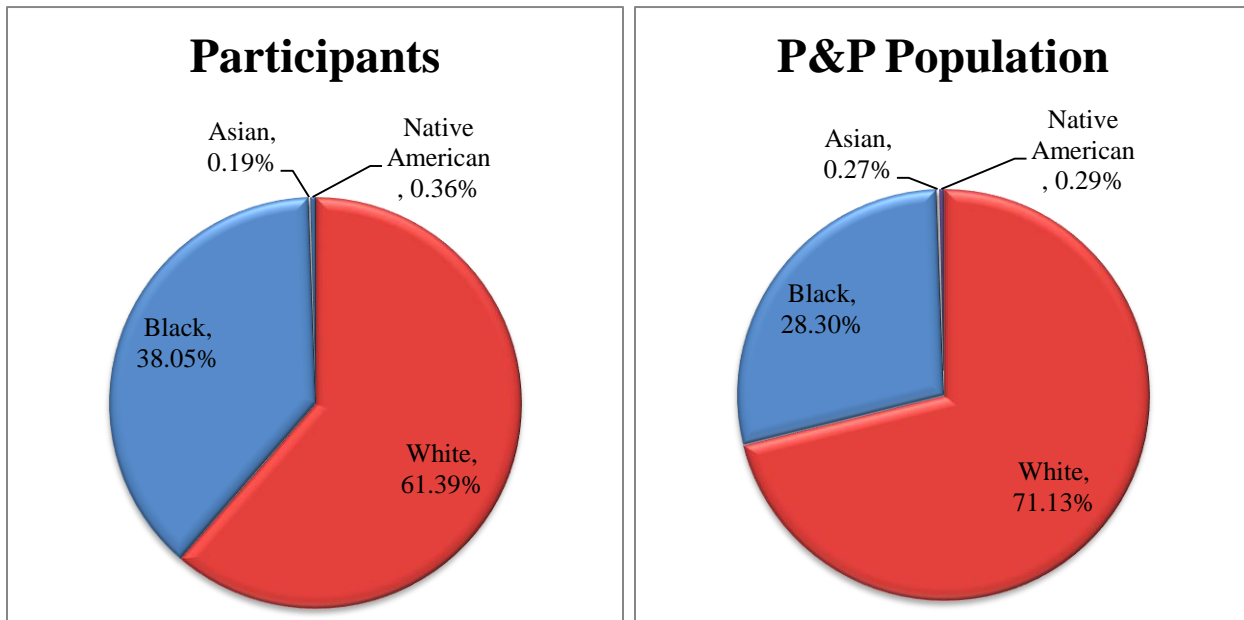
Offenders served under the Community Reentry Funding Initiative include essentially the same ratio of men to women as the total P&P population. However, there were about 4% fewer offenders in the program participant sample who were married. See TABLE 3.

**TABLE 3: GENDER AND MARITAL STATUS**

	Participants (%)	P&P Population (%)
Male	79.11	79.02
Female	20.89	20.98
Married	14.88	19.05
Unmarried	85.12	80.30

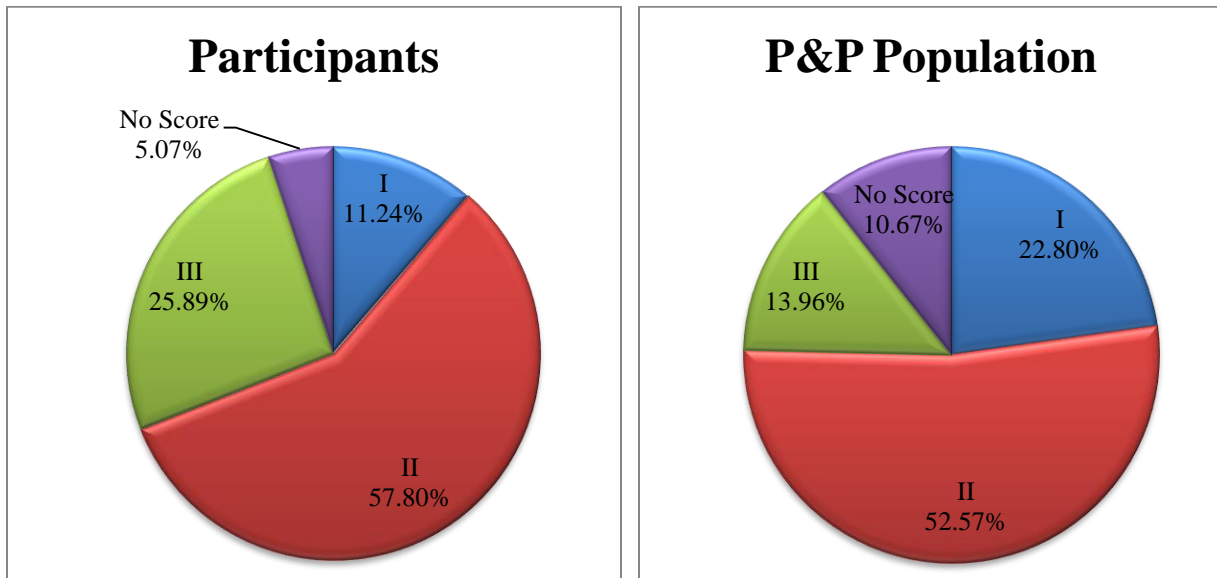
The Community Reentry Funding Initiative served a greater percentage of minorities than are found in the full population (please note, data on the Hispanic offender population were not collected.). See FIGURE 2.

**FIGURE 2: RACE**



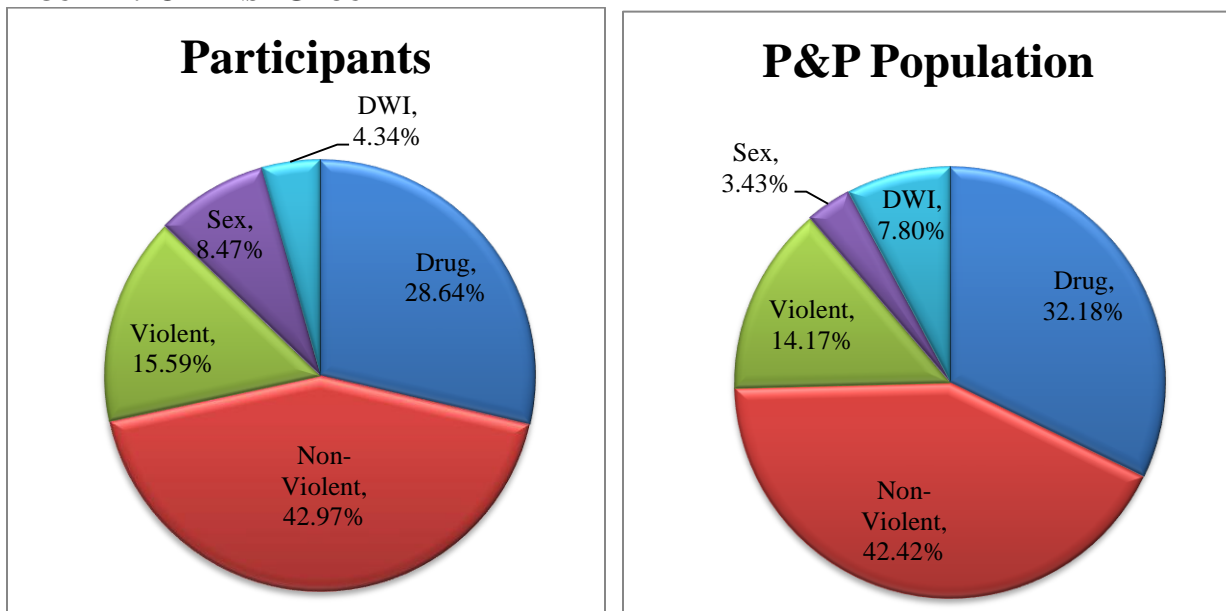
The offenders served under the Community Reentry Funding Initiative tend to be at a higher risk for reoffending than the P&P population at large. About twice as many of the program participants required Level III supervision compared to the general population (26% v. 14%). In total, 83% of clients served through this Initiative were on either Level II or Level III supervision (the highest levels), compared to 66% of the general P&P population. See FIGURE 3.

**FIGURE 3: SUPERVISION CATEGORY**



Types of crimes committed by clients of the Community Reentry Funding Initiative seem to be somewhat similarly distributed between the five offense groups. The differences are a slightly greater proportion of sex offenders, and violent offenders among program participants than in the general population, and fewer drug offenders. See FIGURE 4.

**FIGURE 4: OFFENSE GROUP**



## SUMMARY OF FINAL REPORTS

Agencies submitted final reports on August 15, 2011 to the MU evaluation team for review and analysis. Throughout the funding cycle agencies were encouraged to include information about their program accomplishments, any barriers that they faced, and concerns they had about achieving their output and outcome targets. In this section, IPP has summarized the common themes of those final reports.

### Accomplishments

Awardees were asked to identify some of the outstanding accomplishments of their programs. Many agencies commented on the number of clients served, the number of units of service provided, and the positive reactions from clients in the community. Awardees were also asked to share some of the important lessons that they learned during the implementation of their awards.

Agencies that have been funded for several years by the Community Reentry Funding Initiative have standardized many of their processes and procedures to provide their clients with the services they need. However, veteran and newly funded agencies still constantly learn from working with and listening to their clients.

One newly funded organization noted, “This extremely challenging population was profoundly informative to our organization in learning new techniques with which to assist people in moving forward in their lives.” This organization also observed, “It was determined early on that more structure and defined disciplinary procedures would be necessary to keep the clients focused on their recovery and training.”

Another newly funded agency learned lessons regarding timely referrals, “The lessons we learned were that, in dealing with a state agency, it takes longer to work out referral processes and procedures than in the private sector.” These lessons can help the agencies to develop better systems that can improve timely and efficient service delivery.

Lessons are not reserved only for newly funded agencies. One agency that has received several years of Community Reentry Funding observed, “We have learned that the average client under the award will have 2 to 3 job placements before they find the right match in the world of work.” This knowledge has come from many years of working with clients and employers to find successful employment matches.

Awardees are eager to share their success stories and the anecdotal information they have collected regarding the progress of their clients. One agency shared a story of a woman who was struggling to transition back into the community, “Within a short time of being engaged with the services that we offer, the client stated that she was finally able to see some purpose in her life.”

Another agency observed, “This year we saw solid evidence that clients who stayed with the program until successfully graduating had longer-term employment and were able to find stable housing.”

## **Barriers**

The final report also asked agencies to identify any barriers to the implementation of the programs and for any suggestions for continued improvement to the Initiative. Recruitment and retention of clients continues to be a barrier for many of the funded agencies. Other barriers that have been evident throughout the Community Reentry Funding Initiative are availability of sex offender housing, communication with collaborators, and transportation.

This year many of the barriers the agencies identified are a direct result of the current economic circumstances. Lack of employment opportunities was cited as the largest barrier to success in these programs. Clients throughout the state are struggling to find employment and therefore have trouble addressing their other needs.

This year Missouri was also impacted by natural disasters that effected clients and organizations. After the tornado in Joplin in May 2011 many of the service providers in the affected area were overwhelmed with clients in need and limited resources. All of the Joplin agencies reported that they were able to repair and get back on their feet after the disaster and continue to serve clients.

## **FINANCIAL SUMMARY**

The Department of Corrections awarded approximately \$1.8 million to Community Reentry programs across the state. IPP kept track of spending by awardees to ensure that additional money was not distributed to an agency that did not require the funds to complete their proposed services. Any agencies with unspent funds at the end of the award cycle were required to return those funds to the Department of Corrections Inmate Revolving Fund. TABLE 5 is the final financial summary for the awardees, including the amount of money not spent by the agency.

The table below (TABLE 4) is a breakdown of how money was spent by awardees. The first section of the table is the total expenses by service category. This shows approximately how much was spent for each type of service. The largest expense was counseling services, which includes substance abuse and general mental health. This was followed by basic essentials (food, clothing, medical expenses, hygiene products, etc.) and housing assistance. Employment services accounted for the least amount of expenses at about \$74,412.40. It is important to note that while employment expenses were the lowest, much of this service is provided through one-on-one guidance from a case manager. Therefore, it is accounted for more so in the ‘Personnel’ budget line. The total amount attributed to these five service categories is about \$947,327.06, which is 55% of the total amount distributed.



The second part of the table shows how much was spent on other expenses. The largest expense was personnel with 34 of 40 awardees utilizing funding to support either full-time or part-time employees. When you consider personnel along with fringe benefits, it comes to \$718,126.78. The next largest expense was the supplies category, which included items such as printing expenses, marketing materials, or training materials for offenders. The travel category here is defined as staff travel only and therefore does not include the transportation of offenders. In total, these other expenses came to \$775,887.71, or 45% of the total funding.

**TABLE 4: FINANCIAL SUMMARY BY SERVICE CATEGORY**

<b>Expenses by Service Category</b>	<b>Amount Spent</b>
Counseling	\$ 252,984.18
Basic Essentials	\$ 236,977.09
Housing	\$ 229,170.29
Transportation	\$ 153,783.10
Employment	\$ 74,412.40
	<i>Subtotal</i> \$ <b>947,327.06</b>
<b>Other Expenses</b>	
Personnel	\$ 665,380.24
Fringe	\$ 52,746.34
Supplies	\$ 26,834.80
Travel	\$ 21,170.68
Equipment	\$ 9,755.65
	<i>Subtotal</i> \$ <b>775,887.71</b>
	<b>Grand Total</b> \$ <b>1,723,214.77</b>

### ANALYSIS

IPP provides analysis of the Community Reentry Initiative through two sets of analysis: process evaluation and impact evaluation. The process evaluation ensures that funds were spent for the intended purpose, proposed goals and objectives were met, and that agencies carried out the programs they were funded to implement. The impact analysis examines the effects of the Initiative on the reoffense rate of the participants compared to the general P&P population.

#### Process Evaluation

The purpose of a process evaluation is to improve the quality and accountability of the programs. By utilizing the tracking sheet, awardees were able to collect data on the number of units of service they distributed in each of the designated service categories. TABLE 5 is a summary of the outputs provided to clients by the funded organizations. These numbers reflect a substantial amount of services distributed to thousands of clients throughout the state. The highest number of units was provided in transportation related services with about 65,897 units. Awardees distributed 28,203 units of basic essentials which included things like food, clothing, hygiene products, and medications. Over the course of the award, 25,423 units of housing were provided

with each unit equal to one day of housing for an offender. For the mental health and substance abuse categories, a unit of service typically amounted to an hour of counseling. Combined these two services provided about 15,833 hours of counseling. In total, there were about 168,542 units of service provided to offenders.

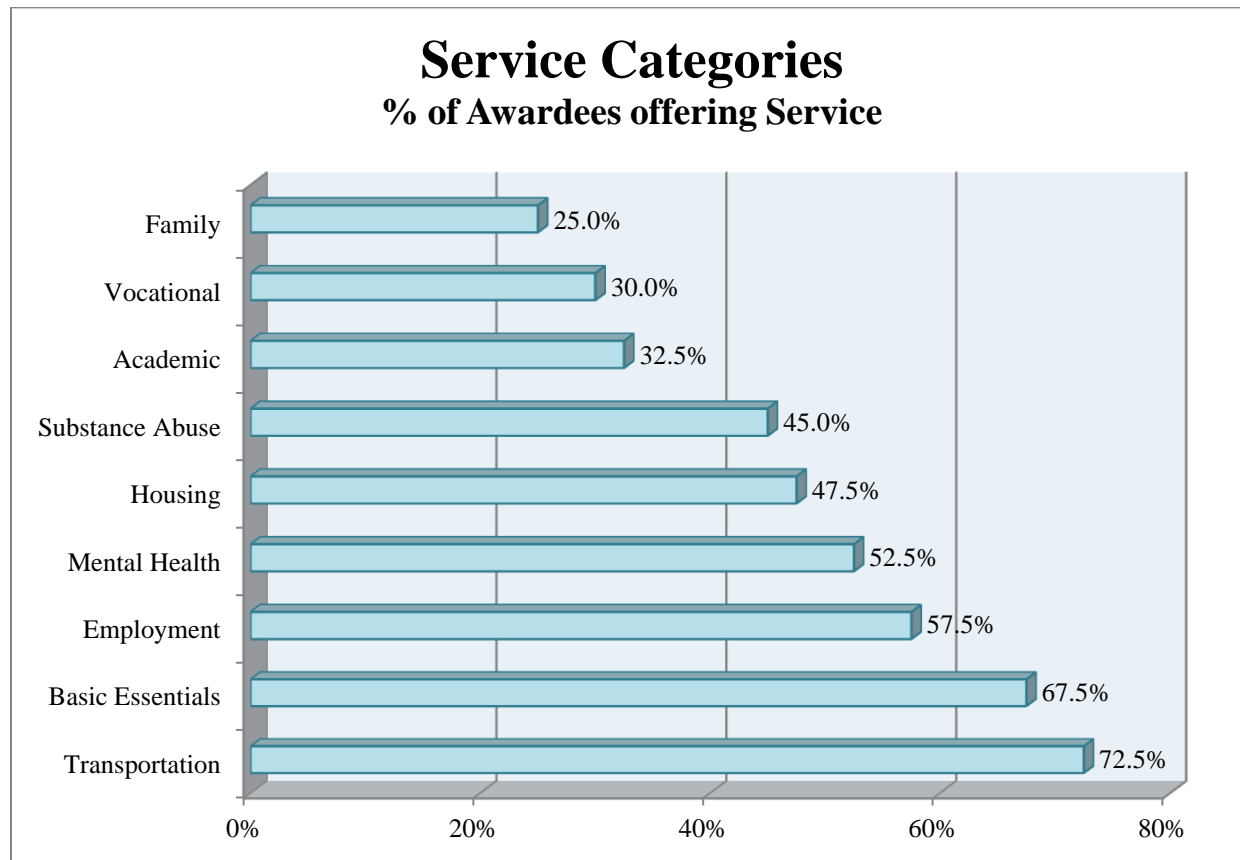
The third column in the table shows the number of offenders who received each type of service. It is important to understand that there is significant overlap in the services offenders received. For example, offenders who received basic essentials most likely also received several other types of services.

**TABLE 5: OUTPUTS OF COMMUNITY REENTRY FUNDING INITIATIVE**

Type of Service	# of units	# of offenders
Transportation	65,897	2,989
Basic Essentials	28,203	2,688
Employment	26,862	2,056
Housing	25,423	858
Substance Abuse	10,799	754
Mental Health	5,084	441
Family	2,784	360
Academic	2,280	238
Vocational	1,210	135

FIGURE 5 is a graph indicating the percent of awardees who delivered each of the nine service categories identified by the Department of Corrections. Transportation was the most commonly provided service at 72.5% of the awardees. This is followed by basic essentials and employment services at 67.5% and 57.5% respectively. The least common service was family support at just 25% of awardees. A possible take-away here is that a majority of the services were being provided by nearly half of the awardees. This may be an indication of the more comprehensive approach being utilized by many of the awardees.

**FIGURE 5: CATEGORIES OF SERVICES PROVIDED**



## **Impact Evaluation**

### ***Reoffense Rate Analysis***

In this section an in-depth analysis of reoffense rates is conducted to determine the overall impact of the Community Reentry Initiative. In order to do so, IPP pulled data on the entire population of offenders on parole or probation in the state of Missouri between August 1<sup>st</sup>, 2011 and July 31<sup>st</sup>, 2012. After removing observations with missing data on key variables, along with those who were actually still in prison, the working sample for the analyses is 91,052 for the P&P population. For program participants, the number of observations is reduced from 4,420 to 4,145 after removing those with missing data. Although the actual number of treated observations is typically much smaller than that number because the analysis examines the impact of single programs or service types, which are small subgroups of participants.

To determine the reoffense rate for the clients participating in this funding Initiative, IPP identified all offenders who were sent to prison after they had started one of the programs. This could be a result of either a technical violation of their supervision or because they had committed a new crime. As a comparison, for all offenders who did not receive services under

this funding Initiative, the evaluation team looked at any person that had been on probation or parole as of August 1<sup>st</sup>, 2011 (the beginning of this round of funding), and have since then committed a technical violation or new crime that required a prison sentence.

The purpose of the analysis was to do a more detailed examination of reoffense rates to determine if they are influenced by 1) the participation in the reentry program, 2) the receipt of services from a particular provider, 3) the receipt of a particular service or combination of services, or 4) the total number of service units received. Because there are differences between program participants and the general P&P population, there is not a random selection of offenders into either program or service type. Instead, it is clear that certain offender characteristics, such as age, race, offense type, supervision level, and others, predict participation in certain programs, services, and dosages. This complicates the analyses because many of these characteristics also predict the likelihood of reoffense. For example, older nonviolent offenders are less likely to reoffend, so a program that serves a large number of those clients is influenced by the offender's characteristics and will likely show a lower reoffense rate.

In order to overcome these difficulties, a propensity score matching (PSM) technique is employed in subsequent analyses, which is the best technique for comparing treated and non-treated groups that are not randomly selected. It compares each person who received the treatment against the offender that was the most similar to them on the above characteristics, but did not receive treatment. For example, when IPP examined the impact of receiving housing assistance on reoffense, it ideally compares a 25 year old white man on parole for a drug offense who received such assistance against only 25 year old white men on parole for drug offenses who did not receive such assistance.

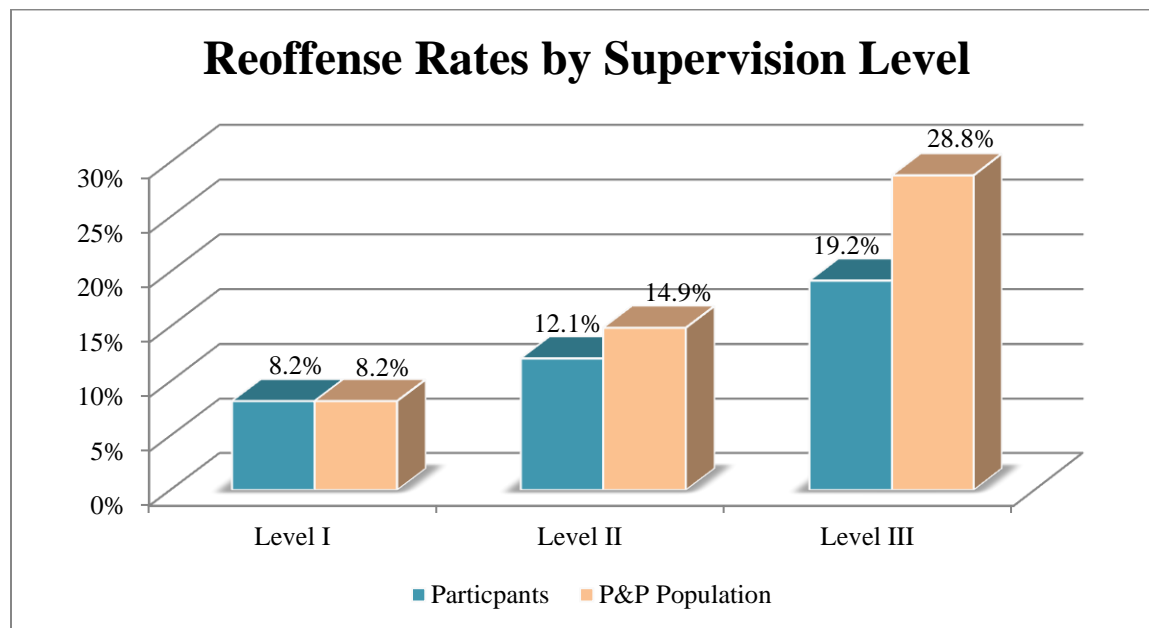
### ***Results of Propensity Score Matching***

IPP began the analysis by assessing the impact of being a participant in the program on the offender's risk of reoffense. A comparison of overall reoffense rates was done through this process and program participants were compared to a group of offenders with the same demographic make-up. In this case, clients were matched to a sample from the population based on race, sex, age, offense group, sentence type, and supervision level. These are the strongest predictors of reoffense and therefore should deal adequately with the problem of selection bias.

The results suggest that simply being a client of any of these organizations significantly reduced reoffense rates when that group was compared to all other offenders in the state. In fact, being a client of any of the awardees decreased the risk of reoffense by 3.5%. The matched sample had a reoffense rate of 17.3%, while program participants reoffended at a rate of just 13.8%. This means that 3.5% fewer of awardees' clients reoffended when compared to a similar group from the larger population, which is a statistically significant difference.

FIGURE 6 shows the results of the breakdown of those rates by supervision level. For Level I offenders, the reoffense rate was 8.2% for both program participants and the P&P population. Level II offenders saw a slight reduction in reoffense, 12.1% for participants and 14.9% for the entire P&P population. The most notable outcome is that Level III offenders who received programming showed a reoffense rate of 19.2% compared to 28.8% for the P&P population. These high risk offenders were intentionally targeted by the Community Reentry Project, and therefore it is important to see such a positive result of the efforts made through this Initiative. For those individuals who did reoffend, 24.2% were for new crimes (rather than technical violations) in the general P&P population, but only 8.5% of the reoffenses by program participants were due to new crimes.

**FIGURE 6: REOFFENSE RATES BY SUPERVISION LEVEL**



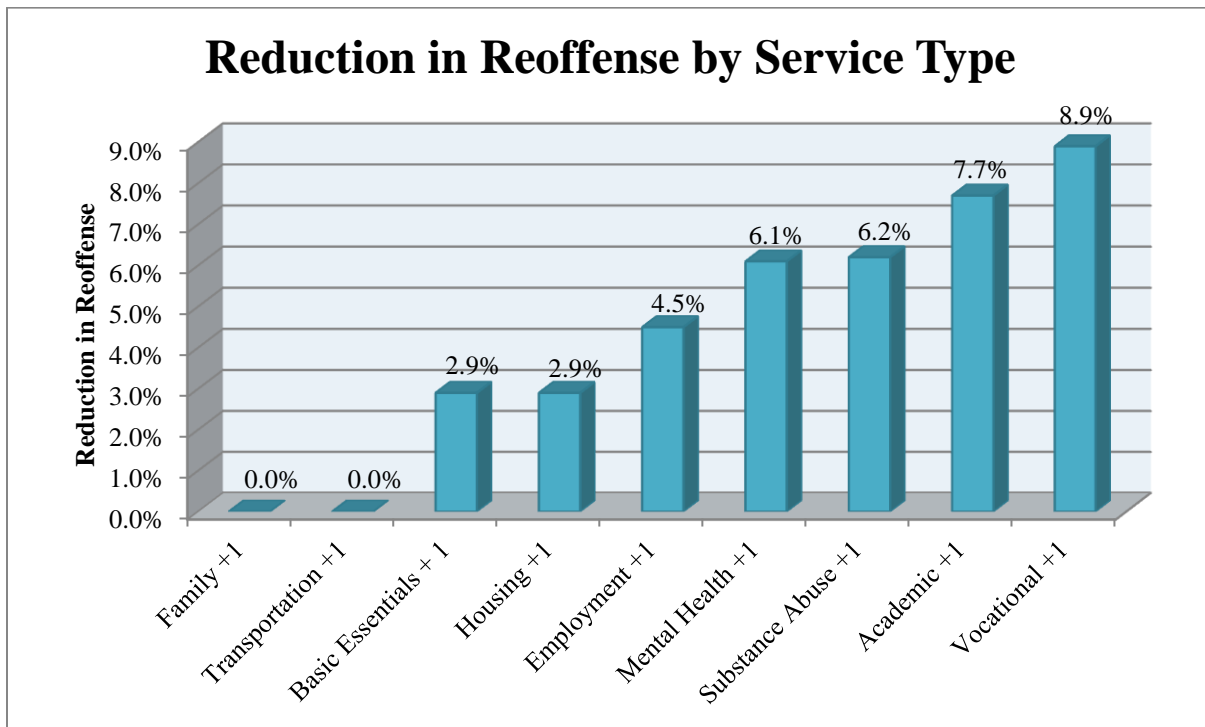
### ***Service Type Analysis***

The next analysis examined if the receipt of specific services or a combination of services had a meaningful impact on reoffense. Only one type of service—transportation—had a significant effect when it was the exclusive treatment received by an offender. For the 527 participants who received only transportation assistance, 5.2% fewer reoffended compared with a similar group that received alternative services or no services at all. It is important to note that the group of offenders included in this analysis (those who received transportation only) were less serious offenders compared to other clients. Therefore, the takeaway here is that transportation showed an impact for this specific offender profile. Offenders who exclusively received other services

did not have a lower risk of reoffense compared with similar persons who did not receive those services. In the previous round of funding, academic and employment services showed to have impact when they were the only service received. However, there were fewer individuals who received just one service in this round. Consequently, it was more difficult to isolate the effect of a single service and more likely that the impact of these other services will be seen when grouped with additional services, which is the next step in the analysis.

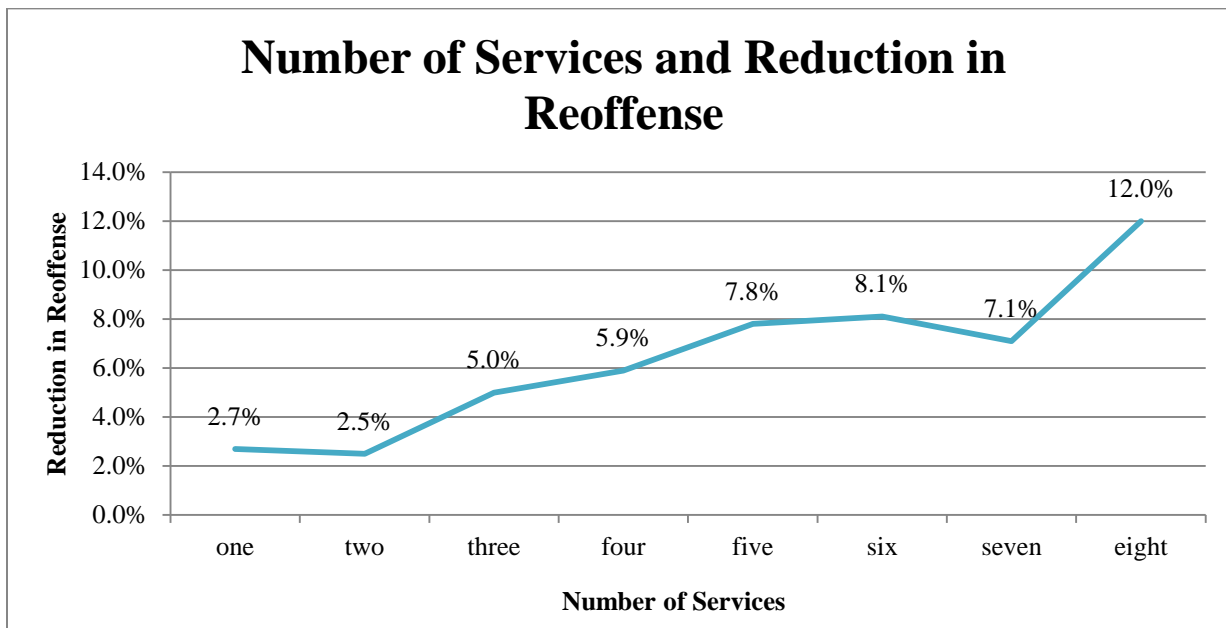
Initially, IPP attempted to analyze the impact of different services in combination. However, the analysis was unable to test the specific variations of service combination because the number of treated individuals became too small. In other words, it was not able to compare someone who received housing and family services to someone who received mental health and basic essentials. As an alternative, IPP tested for the impact of each of the services, but now combined them with *any* other service. The findings from this analysis are quite encouraging. They suggest that 7 of the 9 services areas had a significant impact on reoffense when received in conjunction with at least one other service. Only family and transportation services did not show an effect. The results are presented in Figure 7, and as the figure indicates, the impact on reoffense went from a minimum value of 2.9 % for housing and basic essentials programs (plus one other service), to a maximum of 8.9% for those persons who received vocational training (plus one other service).

**FIGURE 7: RISK REDUCTION BY SERVICE TYPE**



IPP also tested for the impact of the different number of services received. It examined whether receiving 2 or more of any services decreased the probability of reoffense compared with those who received fewer services; then asked, did the receipt of 3 or more services further reduce that risk; etc. The findings illustrated in FIGURE 8 are quite interesting. Receiving a single service reduced the risk of reoffense 2.7%. The impact was similar for receiving 2 or more services, which reduced the likelihood of reoffense by 2.5%. After that point, however, the impact of receiving additional services began to climb quite rapidly. 5% fewer of the people who received 3 or more services reoffended when compared with a very similar group who received less. That figure increases to 5.9% for four services, 7.8% for five services, and 8.1% for six services. The impact appears to dip slightly at that point, with 7.1% fewer persons who received 7 services or more services reoffending when compared with a similar group that received less. However, it jumped again significantly for the small group of clients who received 8 or more services. That group had a 12% lower recidivism rate when compared with those who received fewer services.

**FIGURE 8: NUMBER OF SERVICES AND REDUCTION IN REOFFENSE**



In a final analysis, IPP looked for a “dosage effect”, or the impact of the total units of treatment on reoffense rates. The effect was found to be rather large, with the analysis suggesting that a move from the minimum to the maximum number of units reduces reoffense by .12. This means that after controlling for other factors, the person who received 1,034 units was 12% less likely to reoffend compared to those who received no services.

## *Summary of Results*

IPP believes that some interesting findings emerge from the analysis. Overall, participation in the program resulted in a 3.5% reduction in reoffense compared to a similar group of offenders who did not participate. Results indicate that, received in isolation, only transportation programming had a significant impact on reoffense rates. However, once combined with at least one other service, all but two services (transportation and family support) significantly reduced the likelihood of reoffense.

As noted above, isolating specific program combinations is not feasible because of the small number of offenders in many such combinations. IPP did, however, analyze the impact of the total number of services received and saw a significant decrease in the risk of reoffense as that number of services increased. More specifically, receiving only one or two services had approximately a 2.5% impact on risk, while receiving a combination of any 4 reduced that risk by 6% and receiving 8 services reduced it 12%.

These findings, when combined with the observations that 1) services that were ineffectual individually, emerged as effective in combination with others, and 2) the total number of treatment units had a large impact on reoffense within the sample of enrollees, lead IPP to the conclusion that comprehensive programming is the most promising means for reducing the risk of reoffense among offenders.

### **COMPARISONS OF ROUND 3 AND ROUND 4**

Comparisons between each round of funding provide a unique picture of the programs, clients, and reoffense rates of individuals served throughout the Initiative. It should be noted that there are variables related to both the offender and the environment that may not be accounted for in this analysis. For example, changes in the economy, new or discontinued programs not represented in this analysis, and regional unemployment are all factors that have an effect on clients served by the awardees, but are not necessarily represented in the analysis.

One of the similarities between Round 3 clients and Round 4 was the distribution of clients by supervision categories. FIGURE 9 breaks out the clients for each round by the category of supervision they were under at the completion of their programs. This comparison indicates that the clients served by the awardees through Rounds 3 and 4 continued to be offenders with higher risks and needs as demonstrated by their supervision level.



**FIGURE 9: SUPERVISION CATEGORY ROUND 3 / ROUND 4**

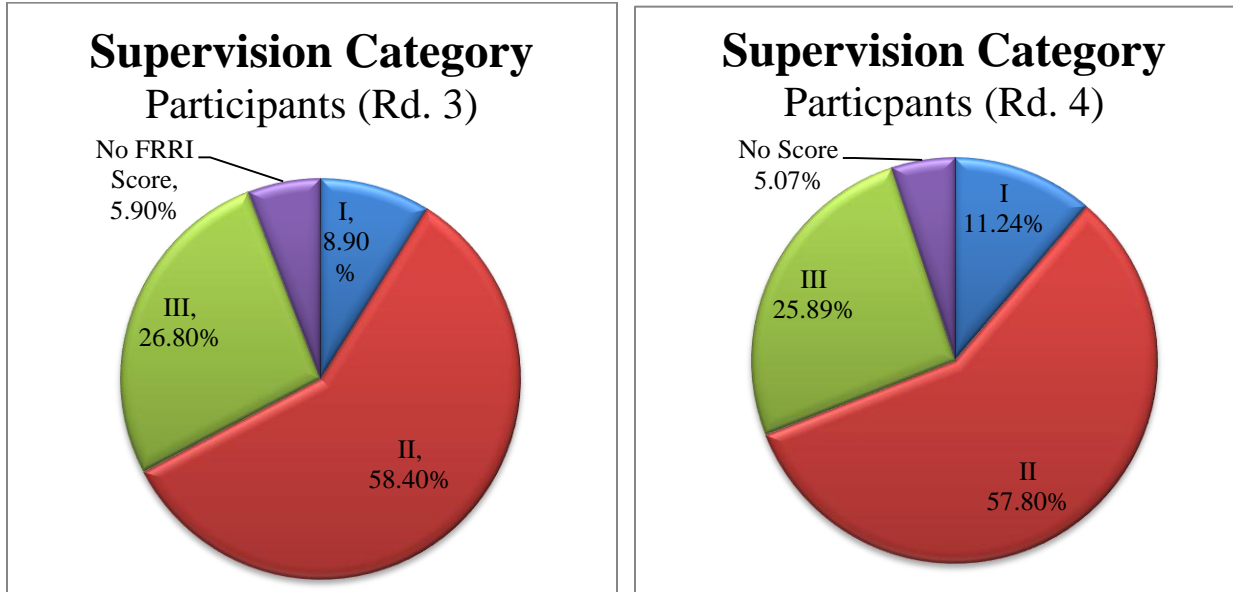
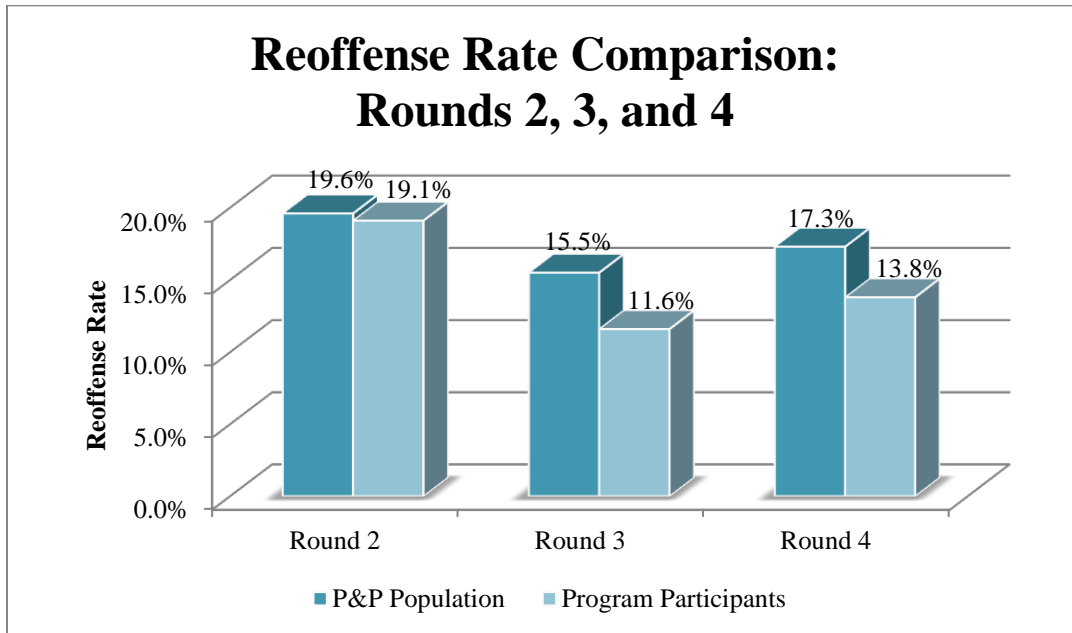


FIGURE 10 is a comparison of the reoffense rates for the matched sample from the general population and the reoffense rates of clients served by funded programs in Round 2, 3, and 4. Although the rates were slightly higher in Round 4 for both groups, the reduction in reoffense rates for program participants was very similar to Round 3.

**FIGURE 10: REOFFENSE RATE COMPARISON: ROUND 2, 3 AND 4**



There were 718 clients who were served by funded agencies in both Rounds 3 and 4. This allowed clients to receive continuous services to address their needs, which helped increase stability in their lives and led to lower reoffense rates.

When data were pulled for the Round 4 analysis, IPP was able to use that data to also follow-up on the clients who participated in the program in Round 3. IPP wanted to see what the reoffense rate was for clients who had continued into the third round of funding versus those who had not. For those offenders who continued to receive services from Round 3 into Round 4 the reoffense rate was 17.97%. For those offenders who only participated in Round 3 the reoffense rate was 22.45%. This analysis indicates that clients who received continuous services through this Initiative were 4.48% less likely to reoffend than clients who ended services after Round 3.

### **THEMES FROM AWARDEE SATISFACTION SURVEY**

An awardee satisfaction survey was created and distributed electronically to all awardees. The purpose was to seek feedback about the performance of Missouri DOC and IPP as well as the Community Reentry Funding Initiative as a whole. Awardees were asked to give their overall assessment of the performance of both the Missouri Department of Corrections and IPP. On a scale of 1-5 (1 being very satisfied and 5 being very unsatisfied), Missouri DOC scored a 1.4 and IPP scored a 1.6. These scores reflect high satisfaction among awardees with both DOC and IPP.

Awardees were also asked for comments or suggestions about the application process. Seven organizations commented that the application process was relatively easy and straightforward. Two organizations thought that more time should be given to complete the application and six organizations commented that the timing of the announcement could be better. Specifically, they requested that the notification of award be made sooner so that community partnerships could be finalized and the necessary MOUs signed.

Awardees were also asked about the funding initiative as a whole. Eight awardees cited the positive effects seen in communities due to the funding. Four awardees thought that more fringe/administrative costs should be allowed. Below are a few of the comments/suggestions provided by awardees.

*“This funding initiative was a wonderful opportunity for the Probation and Parole office to partner with our agency. This year, and this project, has been very successful from both client and organizational perspectives.”*

*“This funding initiative makes a substantial positive impact on the lives of offenders and assists in removing barriers to successful reentry into our community.”*

*“Thank you for helping community agencies to assist the parolees in the community. It would be easier to make a transition between two years of awards if the second could be announced sooner--at least before the beginning date.”*

*“As always, non-profits want to continue to do good work and assist people coming out of incarceration. However, it would be of GREAT assistance to have financial assistance for the administrative work that must go into keeping the office running, the reporting to be done, the staff time it takes to work with this difficult population. If we cannot keep our doors open, we cannot provide the basic essentials the State hopes we will be able to do.”*

*“MO DOC is very helpful throughout the process. The pre-meeting is always informative and staff provide good information. Emily and Christian are very knowledgeable.”*

When asked about IPP’s overall performance, 11 organizations reported that the evaluation team’s assistance was timely and helpful throughout. Below are a few comments provided by awardees.

*“I believe that the MU staff have been fair in their evaluation process. The evaluation and its comparison to the big picture is helpful in explaining why the initiative is important to our district.”*

*“The University of Missouri staff allows for great conversations on how money is being used and how clients are being served. We appreciate the site visits. There is always a lot of reporting that is included, so we always appreciate ways to streamline that process.”*

*“Site visits were timely, valuable input was provided. Evaluators were available for questions and guidance as needed.”*

*“As indicated previously, Emily and Christian are very knowledgeable, and are responsive to questions.”*

*“Prompt in response to any needs, questions, and very helpful with suggestions. The work put on tracking sheets and reports make our job easier thanks to the easy-to use yet very well developed document.”*

Awardees were also given an opportunity to rate their relationships with their local MRP team and local probation and parole office. The ratings for their relationship with probation and

parole were generally described as excellent. It was also reported that awardees had nearly daily contact with their local probation and parole office. Awardees rated their relationship with their local MRP teams as slightly less than an excellent relationship. Comments provided by awardees indicate very positive relationships with both their local MRP team as well as with the local probation and parole office.

## CONCLUSION

The primary goal of the Community Reentry Funding Initiative was to fund programs around the state that would reduce the many barriers that offenders face as they reenter the community. The Initiative is based on the theory that if these barriers are successfully addressed, offenders would have more stability in their lives and be less likely to reoffend and return to prison.

IPP analyzed many factors to determine the success of the Initiative. First, IPP conducted a process analysis to determine what the Department of Corrections received for their \$1.8 million dollar investment. **Over the course of the award, 168,542 units of services were distributed to 4,420 offenders, including 373 sex offenders.** Those services ranged from intensive substance abuse and mental health treatment to the simplest basic needs such as food, clothing, and identification cards.

Another factor used to determine the success of this Initiative was the impact on reoffense rates among program participants. Individual level data was collected on each participating offender so that a reoffense rate could be calculated and compared to the reoffense rate of the larger P&P population. For the purpose of this project, an offender was determined to have 'reoffended' if they either; committed a new crime or committed a technical violation that resulted in their return to prison.

Program participants were significantly different than the entire P&P population in their level of risk. Awardees were serving a sample of the population that had 5.2% more offenders on Level II supervision and 11.9% more on Level III supervision.

In order to account for the differences between program participants and the entire P&P population, IPP created a sample group that matched participants on several important factors such as age, race, sex, offense type, sentence type, offense type, and supervision level. The analysis of the reoffense rates with the matched sample show that being a participant of the Community Reentry Funding Project had a significant impact on reducing the risk of reoffense. The reoffense rate for program participants was 13.8%. The matched sample had a reoffense rate of 17.3%. This means that 3.5% fewer of the awardees' clients reoffended when compared to a similar group from the larger population, which is a statistically significant reduction. The breakdown by supervision level showed that the largest impact was for Level III offenders. This

group of high risk offenders experienced a 9.6% reduction in reoffense rate compared to a similar group who were not in the program.

An analysis was conducted on the different service categories to determine their effect individually and in combination with other services. Transportation services showed a significant effect on reoffense rates when it was the exclusive treatment received by the offender. Seven of the nine services proved to significantly reduce reoffense rates when they were combined with any other service.

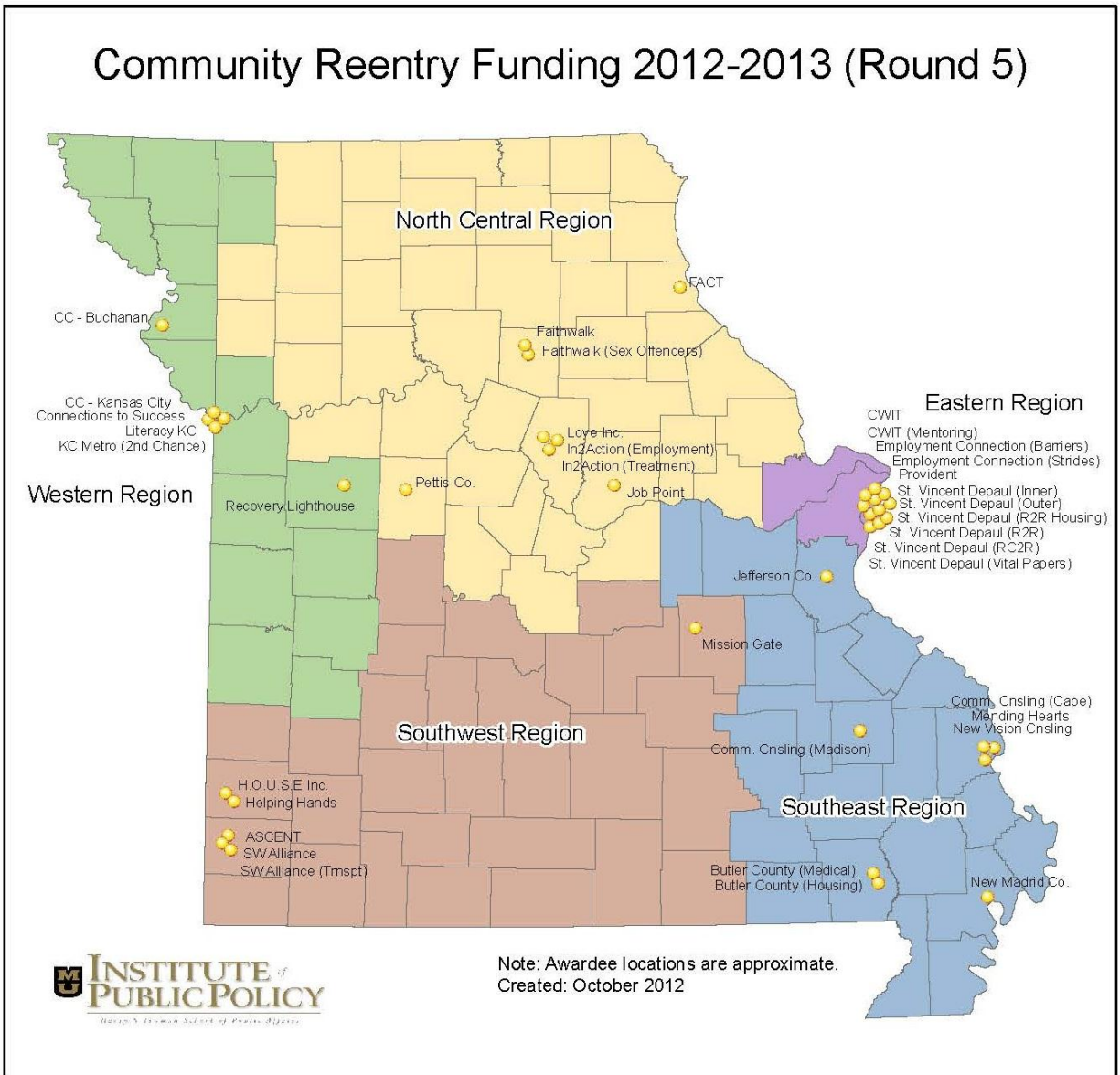
The final analysis examined the number of services an offender received to find out whether reoffense rates are different for those receiving more types of services. Results showed a gradual decrease in the risk of reoffense as offenders received types of service. A dosage effect was also found in that an offender's risk of reoffense decreased as they received more units of service.

The Missouri Department of Corrections' Community Reentry Funding Initiative is an innovative effort to address the needs of offenders under the supervision of Missouri Probation and Parole. The Initiative allows local communities, counties, organizations, Missouri Reentry Process teams, service providers, and many others to propose targeted services to the clients in their areas. This model allows for statewide decision makers to direct valuable resources to address specific local issues, as identified by individuals and groups from those areas.

Community Reentry Funding has had a significant impact on individuals throughout the state by supplying much needed services, addressing local gaps in services, utilizing effective models for service delivery, and impacting the reoffense rates of participants. The Department of Corrections is continuing to support offender reentry efforts by funding a fifth round of the Initiative, which began August 1, 2012. MAP 2 shows the agencies funded for Round 5 of the Community Reentry Funding Initiative.

The Missouri Department of Corrections has a strong commitment to support local reentry programs by providing valuable resources, which help agencies reduce the barriers to effective community reentry. By doing so, DOC invests in the programs that make an impact in participants' lives.

**MAP 2: COMMUNITY REENTRY FUNDING RECIPIENTS ROUND 5**



**Instructions for completing the offender tracking sheet**

The following guide provides instructions for the tracking sheet and examples of activities in each service category. It also identifies the quantity of an activity that represents 1 unit, 30 units, .5 units, .25 units, etc. By following this guide we hope to create some consistency in reporting across awardees. Only the units of service provided under this award (paid for by this funding) should be counted on the tracking sheet. Please review this guide in conjunction with your proposed program activities prior to the start of your project.

**\*\*\*If you have any questions regarding the tracking sheet, or have difficulty determining the category or unit amount for an activity, please contact:**

**Emily Johnson**  
[johnsonemi@missouri.edu](mailto:johnsonemi@missouri.edu)  
(573) 884-5473



**Client Name** –

**Date of Birth** –

**D.O.C. #** – \*\*It is vitally important to our data collection to be able to accurately identify each participant. Therefore, the D.O.C. # submitted for each participant must be correct. If the participant cannot be identified based on the information provided then he/she will not be counted toward the program’s stated outputs.

**Employment Status**– What is the employment status of each participant? Please respond with the following answers: Full-time, Part-time, Unemployed, Disability, Don’t know.

**County of Residence** – Report the county that the offender currently lives in. Please distinguish between St. Louis County and St. Louis City.

**Program Entry Date** – This date is simply the day in which the client entered your program. For those programs that are a one-time only service, this is both the entry date and exit date. For those programs that are more long term, this is the date in which the client first started receiving services. For those offenders who were enrolled in your program prior to the start date of the Community Reentry Funding Project (August 1<sup>st</sup>, 2010), input their entry dates as August 1<sup>st</sup>.

**Program Exit Date** – This is the date in which the client exits the program. This may be after successful completion of your program or simply the last time he/she received services.

**Client Survey Completed** – This column simply allows you to track those who have filled out the client survey and those who have not.

## Service Units

**Academic Education Unit** – Academic units are considered to be any service that is academic in nature. For example, any literacy classes, GED classes, GED testing fees, or higher education classes being provided by your organization are considered academic education units.

**Examples:**

- 1 hour of GED class = 1 unit of academic education
- 1 hour of literacy tutoring = 1 unit academic education
- 1 hour class at local higher education institution = 1 unit of academic education
- 1 GED testing fee = 1 unit

**Basic Essentials Unit** – A unit for basic essentials should be recorded each time a client receives services for basic essentials. This includes but is not limited to: the purchase of medications, child care, emergency needs, clothing, and food. One unit of basic essentials should be counted for every \$10 spent.

**Examples:**

- 1 birth certificate = 1 unit
- 1 state I.D. = 1 unit
- \$10 worth of supplies (food, clothing, hygiene products, prescriptions, etc) = 1 unit of basic needs
- \$10 worth of food assistance = 1 unit of basic needs
- 1 month of utilities paid = 30 units of basic needs
- Utilities reconnect fees = 1 unit

**Employment Unit** – For each day a client receives employment services, an employment unit should be recorded. Any service received in an effort to find and maintain employment for an offender should be considered a unit of employment.

**Examples:**

- 1 hour of job readiness classes = 1 unit of employment
- Purchase of job specific clothing or equipment = 1 unit of employment
- 1 hour of job search assistance = 1 unit of employment
- 1 hour of job application/resume writing assistance = 1 unit of employment
- 1 class on work place professionalism/respect = 1 unit of employment



**Family Unit** – Services provided to strengthen family relationships should be counted under the family unit category. Such services include; family counseling, marriage counseling, parenting skills classes, etc.

**Examples:**

- 1 hour of family counseling = 1 unit of family service
- 1 hour of parenting skills class = 1 unit of family service
- 1 hour of marriage counseling = 1 unit of family service

**Housing Unit** – For each day that housing services are provided to a client, a housing ‘unit’ should be recorded. For those programs providing on-site housing, each day the client lives in your facility is a unit of housing. For those programs providing rent assistance, a day of rent assistance is equal to a unit of housing.

**Examples:**

- 1 day of rental assistance = 1 unit of housing
- 1 month of rental assistance = 30 units of housing
- 1 day of housing = 1 unit of housing
- Providing the deposit for an apartment (equal to 1 month of rent) = 30 units of housing

**Mental Health Unit** – A mental health unit can be counted when the offender receives mental health services other than those considered to be substance abuse treatment. This can include anger management, sex offender treatment, etc.

**Examples:**

- 1 hour of counseling (other than substance abuse treatment) = 1 unit of mental health service
- 1 hour of anger management = 1 unit of mental health service
- 1 hour of sex offender treatment = 1 unit of mental health service

**Substance Abuse Unit** – Substance abuse units should be recorded when an offender is being provided substance abuse treatment by your organization. This includes in-house treatment, or simply assistance with treatment fees from an outside source.

**Examples:**

- 1 hour of substance abuse treatment/counseling = 1 unit of substance abuse
- ½ hour of substance abuse treatment/counseling = .5 units of substance abuse

**Transportation Unit** – Each time a client receives a transportation service a transportation unit should be recorded. If a client receives a bus pass for 15 trips, then they received 15 units of transportation. Each time a client is provided transportation to a meeting or appointment it is considered a unit of transportation (a round trip would be two units).

**Examples:**

- 1 one-way trip to approved location = 1 unit of transportation
- 1 week of bus vouchers = 7 units
- 1 month of bus vouchers = 30 units
- 1 cab ride = 1 unit
- \$5 on a gas card = 1 unit of transportation

**Vocational Education Unit** – This category of units is for those services that prepare offenders for a specific trade or occupation. One hour of vocational training would receive 1 unit of service. Or, assistance with certification testing fees would receive 1 unit.

**Examples:**

- 1 hour of vocational training = 1 unit of vocational education
- 1 hour of auto repair training = 1 unit of vocational education
- 1 certification testing fee = 1 unit of vocational education

## Community Reentry Program Evaluation – Round 3

### Missouri Department of Corrections

#### Participant Consent Form

#### Participant Consent

By completing this survey, I agree to take part in the research project on the Missouri Community Reentry Program by Emily Johnson at the University of Missouri. This project will help the Missouri Department of Corrections improve its services for future parolees and probationers. To be in the project:

- I will complete a survey about my housing, job, and health needs. This survey will take less than 10 minutes to complete.
- I do not have to answer all or any of the questions if I don't want to.
- My answers will not affect my probation or parole status.
- My answers will be completely confidential and will be summarized with all other client responses before it is reported to the Department of Corrections. No one other than the project staff at the University of Missouri will have access to my answers.
- The research team will have access to limited information about me provided by the Department of Corrections including demographic data and criminal history.
- There are no known risks to participating in this research project.
- I can contact Emily Johnson at (573) 884-5473 if I have any questions about this survey.
- I may contact the Campus Institutional Review Board about general questions related to participation in MU research projects at (573) 882-9585 or [umcresearchcirb@missouri.edu](mailto:umcresearchcirb@missouri.edu).

This is your copy, please detach from the survey and keep for your records. Thank you for participating.

Agency Name \_\_\_\_\_ Phone # \_\_\_\_\_

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***(Office Use Only)***

Organization Name:

\_\_\_\_\_

Date: \_\_\_\_\_

**Probation/Parole Survey – Round 3**

Please complete the following survey to the best of your ability. You may skip any question, especially if you feel it is too personal. Your individual answers will not be shared with any Department of Corrections personnel, and will not affect your probation or parole status.

Full Name: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ D.O.C #: \_\_\_\_\_

Background Information

<b>How far have you gone in school?</b>	8 <sup>th</sup> grade or less Some High School Graduated High School GED Some College 2-year college degree 4-year college degree  Other _____
<b>What city do you live in?</b>	

Housing Needs

<b>What type of housing do you currently have? (please circle all that apply)</b>	None I own a house I rent a house or apartment Family members provide space for me I stay in homeless shelters I live in a sober living environment Transitional Housing Other _____
<b>How long have you lived there? (circle one)</b>	Less than 30 days Between 30 days and 3 months Between 3 months and 6 months More than 6 months
<b>Are you looking for other housing?</b>	Yes                      No

Basic Needs

<b>You came to this organization to receive supportive services. Do you have any other immediate needs that are not being met? (circle all that apply)</b>	Help finding support services Transportation Phone
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	I.D. Food Clothes  Other _____												
<b>How would you describe your level of support from family/friends? (circle one)</b>	<table border="0"> <tr> <td><i>No Support Support</i></td> <td><i>Some Support</i></td> <td><i>Constant</i></td> </tr> <tr> <td><b>1</b></td> <td><b>2</b></td> <td><b>3</b></td> </tr> <tr> <td></td> <td></td> <td><b>4</b></td> </tr> <tr> <td></td> <td></td> <td><b>5</b></td> </tr> </table>	<i>No Support Support</i>	<i>Some Support</i>	<i>Constant</i>	<b>1</b>	<b>2</b>	<b>3</b>			<b>4</b>			<b>5</b>
<i>No Support Support</i>	<i>Some Support</i>	<i>Constant</i>											
<b>1</b>	<b>2</b>	<b>3</b>											
		<b>4</b>											
		<b>5</b>											

Job Needs

<b>Do you receive disability benefits (supplemental security income)?</b>	Yes	No	
<b>Do you have a job?</b>	Yes	No	
<b>If yes, how long have you held this job? (Circle one)</b>	<b>A) Less than 6 months</b>	<b>B) Between 6 mo. – 1 year</b>	<b>C) More than 1 year</b>
<b>If yes, what position do you have?</b>			
<b>How much money do you make each month from this job?</b>			
<b>How many hours do you work per week?</b>			
<b>If you do not have a job, what do you need to get a job? (Circle all that apply)</b>	Help finding job openings A new resume Training GED Literacy classes College classes Other _____		
<b>Have you ever held a job for more than 6 months?</b>	Yes	No	

Health Needs

<b>Do you need any of the following? (please circle all services needed)</b>	Doctor Dentist Counselor Mental Health Treatment Substance Abuse Treatment Other Support group Medication Substance abuse treatment None of the Above Other _____	
<b>Do you have medical insurance?</b>	Yes	No

History of Supervision

<p><b>Are you currently on probation or parole? (circle one)</b></p>	<p>Probation                      Parole</p>
<p><b>What or who has helped you the most since you have been on supervision?</b></p>	
<p><b>If you are on parole, did you receive any of these services while in prison most recently (circle all that apply)?</b></p>	<p>High school classes (toward a GED)          College classes          Counseling          Substance abuse treatment          Job training          Institutional Job          Work-Release            Other _____</p>
<p><b>If you are on parole, what could the department have done differently that would have assisted in your transition?</b></p>	

# 1<sup>st</sup> Quarter Reporting Form

*\*Due November 15th*

Date: \_\_\_\_\_

Name of Organization: \_\_\_\_\_

RFA Award Number: \_\_\_\_\_

**Project Reporting Period: Date of Award – October 31, 2010**

1. What were your major accomplishments during this reporting period?
  
2. Please discuss the progress made toward your outputs **through October 31<sup>st</sup>**.
  
3. Please discuss the progress made toward your outcomes **through October 31<sup>st</sup>**.
  
4. Please use the attached financial form to describe your expenditures **through October 31<sup>st</sup>**.  
Please report any changes or modifications to your previous budget.
  
5. What problems/barriers have you encountered during the 1<sup>st</sup> quarter?
  
6. Is there any assistance the Department of Corrections or the M.U. Evaluation Team can provide to address problems or barriers to program implementation or fiscal management?
  
7. Please discuss any challenges presented by the use of the tracking sheet or client survey.
  
8. To ensure consistency in reporting across all awardees, please provide examples of how units of service are being counted by your program. (Answer all that apply)

Academic Education Unit: (Example: 1 hour of GED class = 1 unit of academic education)

Basic Essentials Unit: (Example: 1 basic essentials unit=1 GED testing fee for a client)



Employment Unit: *(Example: 1 employment unit=1 hour of job skills workshop)*

Family Unit: *(Example: 1 hour of parenting skills class = 1 unit of family service)*

Mental Health Unit: *(Example: 1 counseling unit=1 anger management session)*

Substance Abuse Unit: *(Example: 1 hr of substance abuse treatment = 1 unit of substance abuse)*

Transportation: *(Example: 1 transportation unit=1 trip for client to approved location)*

Vocational Education Unit: *(Example: 1 hour of vocational training = 1 unit of vocational education)*

9. Other Comments:

**FINANCIAL TRACKING FORM:** Fill in your proposed budget numbers in the budgeted cost column; fill in actual cost to date in the final column.  
**Reminder:** Keep all of your receipts for purchases made. The Department reserves the right to request an audit be performed at any time.

<b>Budget Detail Worksheet</b>			
<b>A. Personnel</b>		<b>Budgeted</b>	<b>Cost to</b>
<b>Name/Position</b>	<b>Calculation of Cost</b>	<b>Cost</b>	<b>Date</b>
	<b>Subtotal</b>		
<b>B. Fringe Benefits</b>		<b>Budgeted</b>	<b>Cost to</b>
<b>Name/Position</b>	<b>Calculation of Cost</b>	<b>Cost</b>	<b>Date</b>
	<b>Subtotal</b>		
<b>C. Travel</b>			
<b>Purpose of Travel</b> (include location and type)	<b>Calculation of Cost</b>	<b>Budgeted</b> <b>Cost</b>	<b>Cost to Date</b>
	<b>Subtotal</b>		
<b>D. Equipment</b>		<b>Budgeted</b>	<b>Cost to</b>
<b>Item</b>	<b>Calculation of Cost</b>	<b>Cost</b>	<b>Date</b>
	<b>Subtotal</b>		
<b>E. Supplies</b>		<b>Budgeted</b>	<b>Cost to</b>
<b>Item</b>	<b>Calculation of Cost</b>	<b>Cost</b>	<b>Date</b>
	<b>Subtotal</b>		
<b>F. Contracts</b>		<b>Budgeted</b>	<b>Cost to</b>
<b>Item</b>	<b>Calculation of Cost</b>	<b>Cost</b>	<b>Date</b>
	<b>Subtotal</b>		
<b>G. Services</b>		<b>Budgeted</b>	<b>Cost to</b>
<b>Item</b>	<b>Calculation of Cost</b>	<b>Cost</b>	<b>Date</b>
Total			
	<b>Subtotal</b>		

<b>Summary</b>			
A. Personnel			
B. Fringe Benefits			
C. Travel			
D. Equipment			
E. Supplies			
F. Consultants/Contracts			
G. Other			
<b>TOTAL PROJECT COSTS</b>			

## Site Visit Protocol

<b>Site:</b>	<b>Site Visit Date:</b>
<b>Site Visitors:</b>	
<b>Interviewees:</b>	<b>Title:</b>

1. Please give me a brief overview of your program including your target population and overall strategies.
2. What aspects of your program seem to be most successful with participants? (if comprehensive approach) Why do you think this is the case?
3. What has been your greatest challenge so far under this award?
4. Please tell me who your partners have been in this process (community organizations/ groups of people) and how you use those partnerships in your reentry program?

-Which partner/collaborator has been the most vital to this project?

5. What success have you had getting participants and maintaining participants?
6. What challenges have you had getting participants and maintaining participants?
7. How has the broader community (i.e.- all citizens) responded to your project?

8. How have you educated the public about the activities of your organization?
9. How do you get feedback from your participants?
10. Is there anything else you would like to tell us regarding this project?

**Budget:** Review project budget in conjunction with project timeline.

**Questions specific to evaluation:**

\*\*Explain what we are doing with the data – evaluating the Initiative as a whole. Main research questions: re-offense rates and units of service provided across the state.

1. What would be the most useful information for you to obtain from an evaluation of your project?
2. Can you tell us about your data collection process? What problems/obstacles have you encountered in regards to collecting/reporting data? Tracking sheet problems?
  - a. What data have you collected or plan on collecting?
  - b. How do you determine if your outputs and outcomes are being met?
  - c. How is the data kept and maintained?
  - d. Are you using data to assess your performance?
3. Are there specific evaluation related issues our evaluation team can assist you with?

\*\*Ask for copies of data collections tools/instruments (anything outside of the tracking sheet provided).